



IN THE COMPETITION
APPEAL TRIBUNAL

Case: 1336/7/7/19

BETWEEN:

MR PHILLIP EVANS

Proposed Class Representative

-and-

- (1) BARCLAYS BANK PLC**
- (2) BARCLAYS CAPITAL INC.**
- (3) BARCLAYS PLC**
- (4) BARCLAYS EXECUTION SERVICES LIMITED**
- (5) CITIBANK, N.A.**
- (6) CITIGROUP INC.**
- (7) MUFG BANK, LTD**
- (8) MITSUBISHI UFJ FINANCIAL GROUP, INC.**
- (9) J.P. MORGAN EUROPE LIMITED**
- (10) J.P. MORGAN LIMITED**
- (11) JP MORGAN CHASE BANK, N.A.**
- (12) JPMORGAN CHASE & CO**
- (13) NATWEST MARKETS PLC**
- (14) NATWEST GROUP PLC**
- (15) UBS AG**
- (16) HSBC HOLDINGS PLC**
- (17) HSBC BANK PLC**
- (18) UBS GROUP AG (AS SUCCESSOR TO CREDIT SUISSE GROUP AG)**
- (19) CREDIT SUISSE AG**
- (20) CREDIT SUISSE SECURITIES (EUROPE) LIMITED**

Proposed Defendants

CONSENT ORDER

UPON the application for a collective proceedings order made by Mr Phillip Evans (“**Mr Evans**”) on 11 December 2019, as re-amended on 9 February 2024 (the “**Re-Amended Claim Form**”), resulting in proceedings before the Competition Appeal Tribunal under case number 1336/7/7/19 (the “**Proceedings**”)

AND UPON the addition of the Sixteenth to Twentieth Proposed Defendants to the Proceedings on 9 February 2024

AND UPON the merger of the Nineteenth Proposed Defendant, Credit Suisse AG, with the Fifteenth Proposed Defendant, UBS AG, on 31 May 2024 (the “**Merger**”), resulting in the Nineteenth Proposed Defendant ceasing to exist and the Fifteenth Proposed Defendant succeeding to all the rights and obligations of the Nineteenth Proposed Defendant

AND UPON the Fifteenth and Eighteenth to Twentieth Proposed Defendants confirming that the nature and extent of Mr Evans’ existing rights in the Proceedings against the Nineteenth Proposed Defendant will remain unchanged by the removal of the Nineteenth Proposed Defendant from the Re-Amended Claim Form (with the only change in relation to those rights being as to the identity of the relevant counterparty)

AND UPON the parties having agreed to the terms of this Order

IT IS ORDERED THAT:

1. Credit Suisse AG be removed from the Re-Amended Claim Form.
2. UBS AG be amended in the title of the Re-Amended Claim Form to read “UBS AG (in its own right and as a successor to Credit Suisse AG)”.
3. There shall be no order as to costs.

Mr Justice Roth
Acting President of the Competition Appeal Tribunal

Made: 20 December 2024
Drawn: 20 December 2024